

REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Attorney Docket No.

693-009

First Named Inventor

Smallwood et al

Original Patent Number

5,654,609

Original Patent Issue Date
(Month/Day/Year)

08/05/97

Express Mail Label No.

EL 425384421US

APPLICATION FOR REISSUE OF:
(check applicable box)

Utility Patent



Design Patent



Plant Patent

APPLICATION ELEMENTS

ACCOMPANYING APPLICATION PARTS

1. ☒ * Fee Transmittal Form (PTO/SB/56)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification and Claims (amended, if appropriate)
3. ☒ Drawing(s) (proposed amendments, if appropriate)
4. ☒ Reissue Oath / Declaration (original or copy) (2)
(37 C.F.R. § 1.175)(PTO/SB/51 or 52)
5. Original U.S. Patent
☒ Offer to Surrender Original Patent (37 C.F.R. § 1.178)
(PTO/SB/53 or PTO/SB/54) (2)
or
☐ Ribboned Original Patent Grant
☐ Affidavit / Declaration of Loss (PTO/SB/55)
6. Original U.S. Patent currently assigned?
☒ Yes ☐ No
(If Yes, check applicable box(es))

7. ☐ Foreign Priority Claim (35 U.S.C. 119)
(if applicable)
8. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
9. ☐ English Translation of Reissue Oath/Declaration
(if applicable)
10. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ Other: Check for \$1060.00

* NOTE FOR ITEMS 1 & 10: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

14. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

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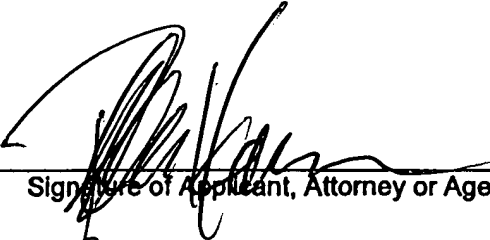
Signature

Date

8/4/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number (Optional)		
Claims as Filed - Part 1								
Claims in Patent	For	Number Filed In Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity		
				Rate	Fee	Rate	Fee	
(A) 6	Total Claims (37 CFR 1.16(j))	(B) 28	8 =	x \$	=	or	x \$ 18 = 144	
(C) 3	Independent Claims (37 CFR 1.16(i))	(D) 5	2 =	x \$	=		x \$ 78 = 156	
Basic Fee (37 CFR 1.16(h))					\$		\$ 760	
Total Filing Fee					\$	OR	\$ 1,060	
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	=	x \$	=	or	x \$ =
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$	=		x \$ =
Total Additional Fee					\$	OR	\$	
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p>								
<p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____.</p> <p>A duplicate copy of this sheet is enclosed.</p>								
<p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. <u>19-2825</u>.</p> <p>A duplicate copy of this sheet is enclosed.</p>								
<p><input checked="" type="checkbox"/> A check in the amount of \$ <u>1060.00</u> to cover the filing / additional fee is enclosed.</p>								
<u>8/4/99</u> Date		 Signature of Applicant, Attorney or Agent of Record <u>Robert M. Haroun</u> Typed or printed name <u>Reg. No. 34,345</u>						

PATENT

Docket No. 693-009

A/RE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Smallwood
Serial No. : Not yet assigned
Filed : August 4, 1999
For : GAS DISCHARGE LAMP AND POWER DISTRIBUTION SYSTEM THEREFOR

Group Art Unit: Not yet assigned
Examiner: Not yet assigned

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL425384421US

Date of Deposit August 4, 1999

I hereby certify that the following attached paper(s) or fee

- 1) Reissue Patent Application (incl. specification, drawings, claims and Abstract)
- 2) Reissue Patent Application Transmittal
- 3) Two Copies of Combined Declaration, Power of Attorney and Petition - executed
- 4) Two Copies of Offer to Surrender Patent - executed
- 5) Express Mail Certificate
- 6) Return Postcard
- 7) Preliminary Amendment
- 8) Written Consent of Assignee - executed
- 9) Statement Under 37 CFR 3.73 w/ Proof of Assignee Ownership
- 10) Information Disclosure Statement w/ Form PTO-1449
- 11) 23 References
- 12) Reissue Application Fee Transmittal
- 13) Check for \$1,060.00

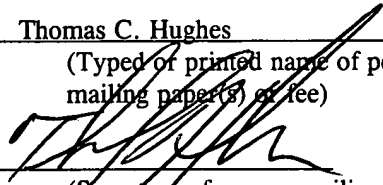
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37

C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks,

Washington, D.C. 20231.

Thomas C. Hughes

(Typed or printed name of person
mailing paper(s) or fee)


(Signature of person mailing
paper(s) or fee)


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Commissioner of Patents & Trademarks
Washington, D.C. 20231

Sir: Please stamp the date of receipt and the serial Number, if appropriate, hereon, and return by mailing. Thank you.

Documents: 1) Reissue Patent Application (incl. specification, drawings, claims and Abstract); 2) Reissue Patent Application Transmittal; 3) Two Copies of Combined Declaration, Power of Attorney and Petition - executed; 4) Two Copies of Offer to Surrender Patent - executed; 5) Express Mail Certificate; 6) Return Postcard; 7) Preliminary Amendment; 8) Written Consent of Assignee - executed; 9) Statement Under 37 CFR 3.73 w/ Proof of Assignee Ownership; 10) Information Disclosure Statement w/ Form PTO-1449; 11) 23 References; 12) Reissue Application Fee Transmittal; 13) Check for \$1,050.00. S. PTO
Date Documents Mailed: August 4, 1999

For Application

Title: GAS DISCHARGE LAMP... Serial No. 

Applicant: Smallwood Filing Date: 8/4/99; Dock # 462-693-009;
Attorney: Robert Haroun Exp. Mail No.: EL425384421US

6/15/99